### COT 6405 Introduction to Theory of Algorithms

#### Topic 14. Graph Algorithms

## **Elementary Graph Algorithms**

- How to represent a graph?
  - Adjacency lists
  - Adjacency matrix
- How to search a graph?
  - Breadth-first search
  - Depth-first search

## **Graph Variations**

- Variations:
  - A connected graph has a path from every vertex to every other
  - In an undirected graph:
    - edge (u,v) = edge (v,u)
    - No self-loops
  - In a directed graph:
    - Edge (u,v) goes from vertex u to vertex v, notated  $u \rightarrow v$

## **Graph Variations**

- More variations:
  - A weighted graph associates weights with either the edges or the vertices
    - E.g., a road map: edges weighted w/ distance
  - A multigraph allows multiple edges between the same vertices
    - E.g., the call graph in a program (a function can get called from multiple points in another function)

## Graph G = (V, E)

A graph G = (V, E)

-V = set of vertices, E = set of edges

We will typically express running times in terms of |E| and |V| (often dropping the ||'s)
 If |E| ≈ |V|<sup>2</sup>, the graph is dense

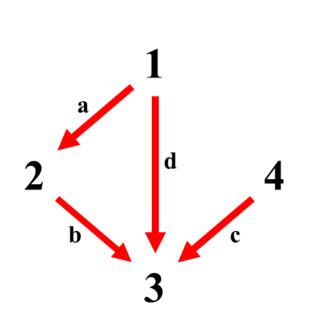
– If  $|E| \approx |V|$ , the graph is sparse

- If you know you are dealing with dense or sparse graphs, we different data structures
  - Dense graph  $\rightarrow$  adjacency matrix
  - Sparse graph ightarrow adjacency lists

## 22.1 Representing Graphs

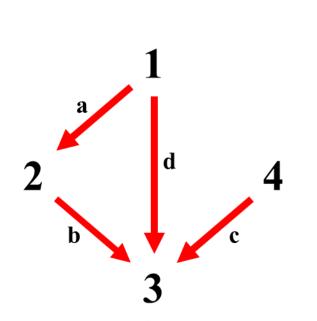
- Assume V = {1, 2, ..., *n*}
- An adjacency matrix represents the graph as a *n* x *n* matrix A:
  - $\begin{array}{ll} A[i, j] &= 1 \text{ if edge } (i, j) \in E & (\text{or weight of edge}) \\ &= 0 \text{ if edge } (i, j) \notin E \end{array}$

• Example:



Α	1	2	3	4
1				
2				
3			??	
4				

• Example:



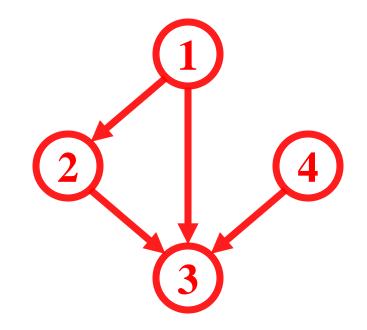
Α	1	2	3	4
1	0	1	1	0
2	0	0	1	0
3	0	0	0	0
4	0	0	1	0

- How much storage does the adjacency matrix require?
- A: O(V<sup>2</sup>)
- What is the minimum amount of storage needed by an adjacency matrix representation of an undirected graph with 4 vertices?
- A: 6 bits
  - Undirected graph  $\rightarrow$  matrix is symmetric
  - No self-loops  $\rightarrow$  don't need diagonal

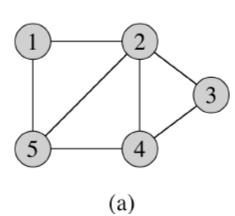
- The adjacency matrix is a dense representation
  - Usually too much storage for large graphs
  - But efficient for small graphs
- Most large interesting graphs are sparse
  - E.g., planar graphs, in which no edges cross, have
     |E| = O(|V|) by Euler's formula
  - For this reason the adjacency list is often a more appropriate representation

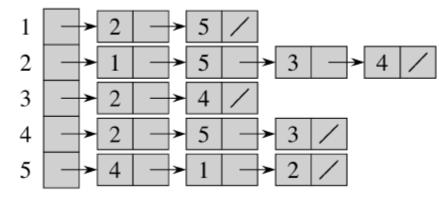
## Graphs: Adjacency List

- For each vertex v ∈ V, store a list of vertices adjacent to v
- The same example:
  - Adj[1] = {2, 3}
  - $Adj[2] = {3}$
  - Adj[3] = {}
  - $Adj[4] = {3}$



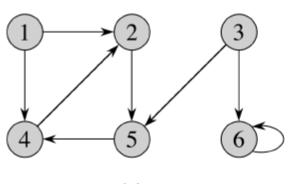
Undirected

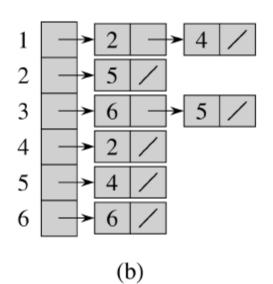




(b)

• Directed Graph





## Graphs: Adjacency List

- How much storage is required?
  - The degree of a vertex v = # incident edges
    - Two edges are called incident, if they share a vertex
    - Directed graphs have in-degree, out-degree
  - For directed graphs, # of items in adjacency lists is
     Σ out-degree(v) = |E|
     takes Θ(V + E) storage
  - For undirected graphs, # items in adjacency lists is  $\Sigma$  degree(v) = 2 |E| also  $\Theta$ (V + E) storage
- So: Adjacency lists take O(V+E) storage

## **Graph Searching**

- Given: a graph G = (V, E), directed or undirected
- Goal: methodically explore every vertex and every edge
- Ultimately: build a tree on the graph
  - Pick a vertex as the root
  - Choose certain edges to produce a tree
  - Note: may build a forest if a graph is not connected

## Breadth-First Search (BFS)

- "Explore" a graph, turning it into a tree
  - One vertex at a time
  - Expand frontier of explored vertices across the breadth of the frontier
- Builds a tree over the graph
  - Pick a source vertex to be the root
  - Find ("discover") its children, then their children, etc.

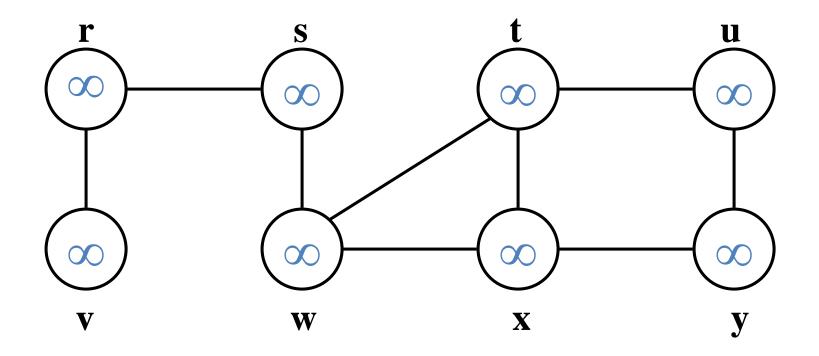
## **Breadth-First Search**

- We associate vertices with "colors" to guide the algorithm
  - White vertices have not been discovered
    - All vertices start out white
  - Grey vertices are discovered but not fully explored
    - They may be adjacent to white vertices
  - Black vertices are discovered and fully explored
    - They are adjacent only to black and gray vertices
- Explore vertices by scanning adjacency list of grey vertices

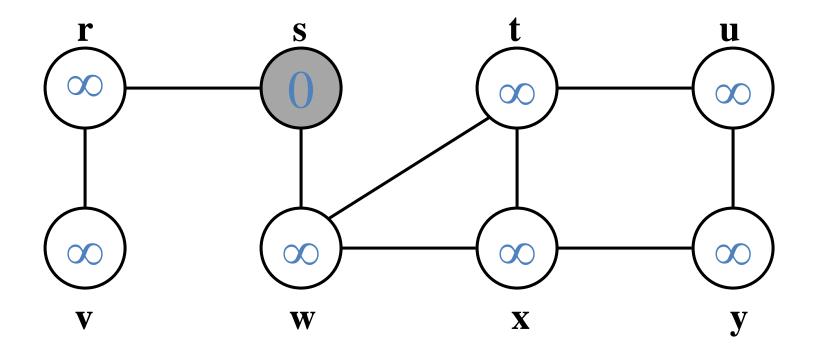
### **Breadth-First Search**

```
BFS(G, s) {
    initialize vertices;
    Q = \{s\};
                            // Q is a queue; initialize to s
    while (Q not empty) {
         u = Dequeue(Q);
         for each v \in G.adj[u] {
              if (v.color == WHITE)
                  v.color = GREY;
                  v.d = u.d + 1; What does v.d represent?
                                      What does v.p represent?
                  \mathbf{v}.\mathbf{p} = \mathbf{u};
                  Enqueue (Q, v);
         }
         u.color = BLACK;
     }
```

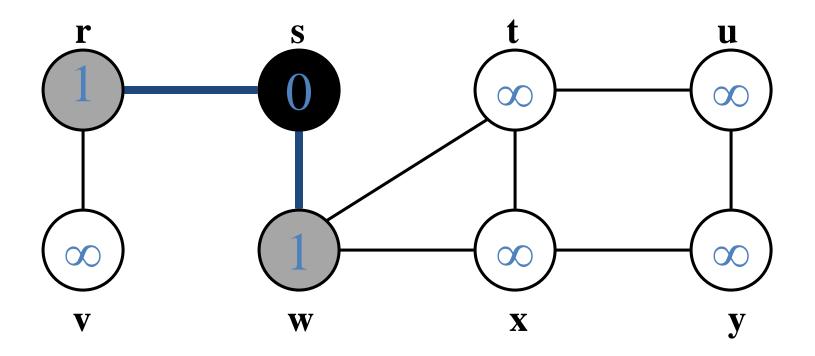
### **BFS:** Initialization all nodes WHITE



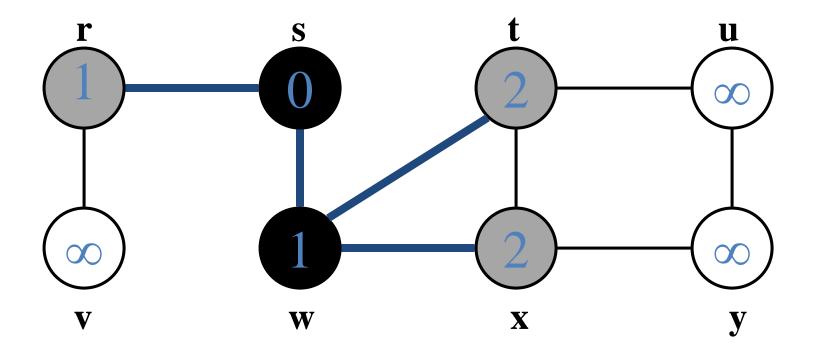
### Breadth-First Search: enqueue s

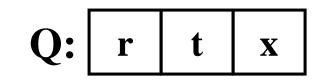


# dequeue s; s is done; enqueue w and r

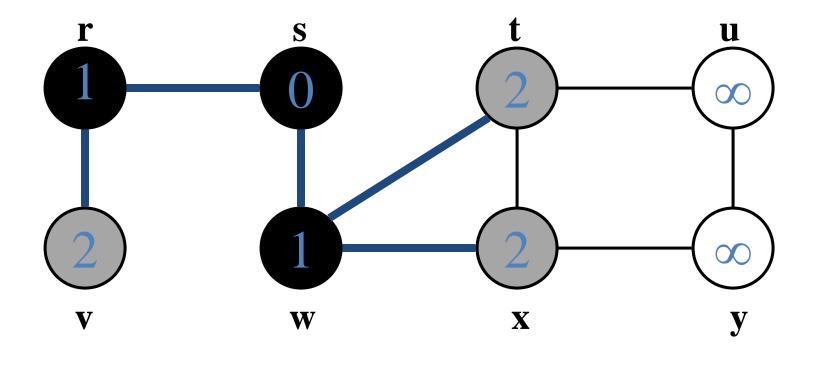


### dequeue w, enqueue t and x

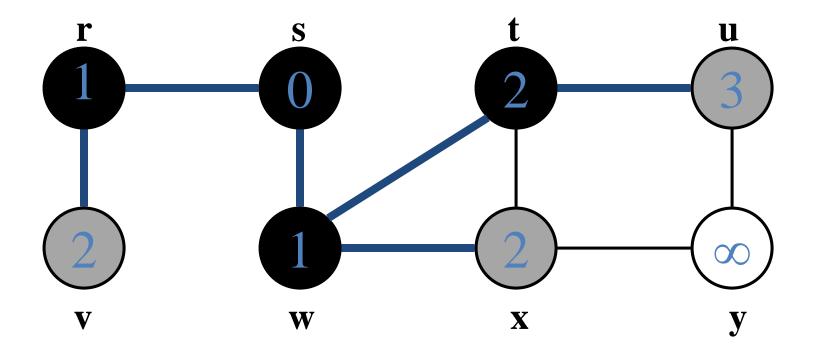




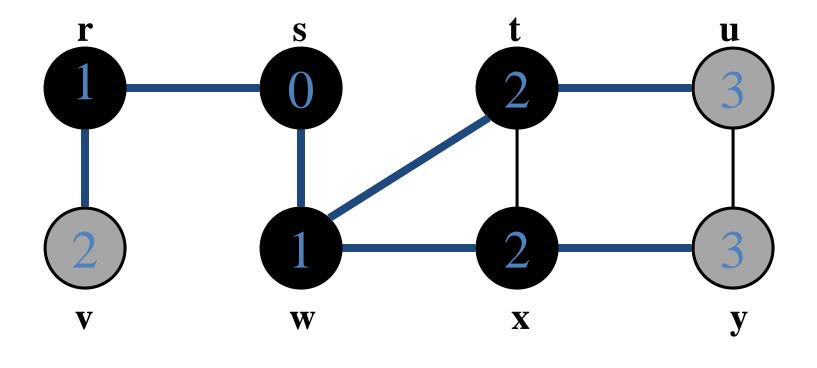
### dequeue r, enqueue v



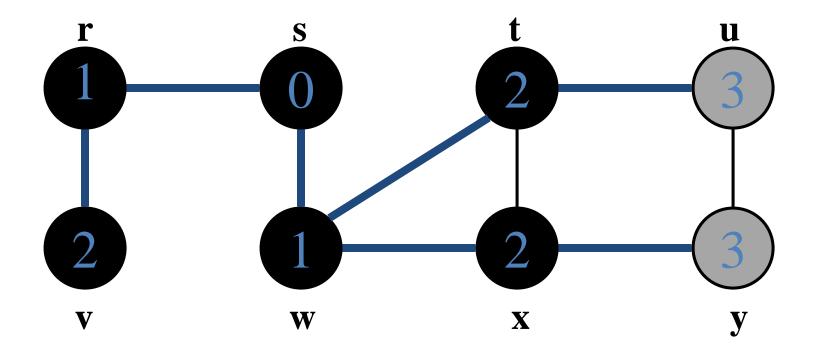
### dequeue t, enqueue u



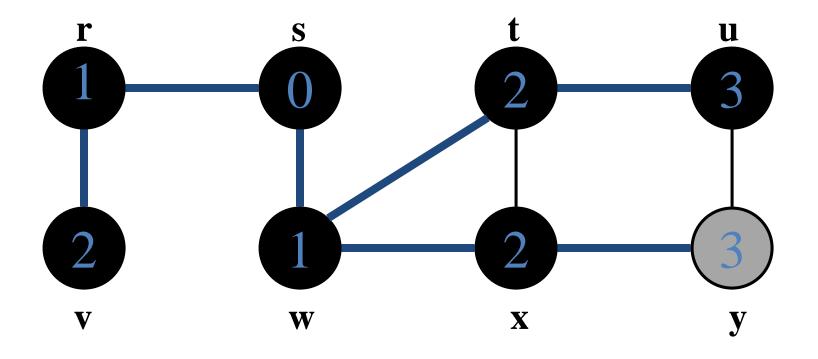
### dequeue x, no enqueue



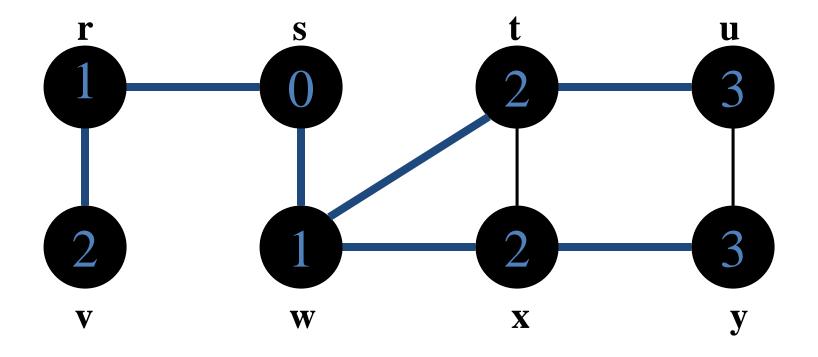
### dequeue v, no enqueue



### dequeue u, no enqueue



### dequeue y, no enqueue



**Q:** Ø

## **BFS: The Code Again**

```
BFS(G, s) {
    initialize vertices;
    Q = \{s\};
    while (Q not empty) {
        u = Dequeue(Q);
        for each v \in G.adj[u] {
             if (v.color == WHITE)
                 v.color = GREY;
                 v.d = u.d + 1;
                 v.p = u;
                 Enqueue (Q, v);
         }
                               What will be the running time?
        u.color = BLACK;
```

}

## Time analysis

- The total running time of BFS is O(V + E)
- Proof:
  - Each vertex is dequeued at most once. Thus, total time devoted to queue operations is O(V).
  - For each vertex, the corresponding adjacency list is scanned at most once. Since the sum of the lengths of all the adjacency lists is  $\Theta(E)$ , the total time spent in scanning adjacency lists is O(E).
  - Thus, the total running time is O(V+E)

## **BFS: The Code Again**

```
BFS(G, s) {
    initialize vertices;
    Q = \{s\};
    while (Q not empty) {
         u = Dequeue(Q);
         for each v \in G.adj[u] {
             if (v.color == WHITE)
                  v.color = GREY;
                  v.d = u.d + 1; What will be the storage cost
                                   in addition to storing the graph?
                  v.p = u;
                  Enqueue (Q, v);
                                     Total space used: O(V)
         }
         u.color = BLACK;
```

}

## **Breadth-First Search: Properties**

- BFS calculates the shortest-path distance to the source node
  - Shortest-path distance  $\delta(s,v)$  = minimum number of edges from s to v, or  $\infty$  if v not reachable from s
- BFS builds breadth-first tree, in which paths to root represent shortest paths in G
  - Thus, we can use BFS to calculate a shortest path from one vertex to another in O(V+E) time

## Depth-First Search

- Depth-first search is another strategy for exploring a graph
  - Explore "deeper" in the graph whenever possible
  - Edges are explored out of the most recently discovered vertex v that still has unexplored edges
    - Timestamp to help us remember who is "new"
  - When all of v's edges have been explored, backtrack to the vertex from which v was discovered

## Depth-First Search: The Code

```
DFS(G)
 for each vertex u \in G.V
    u.color = WHITE
    u.\pi = NIL
 time = 0
 for each vertex u \in G.V
   if (u.color == WHITE)
      DFS_Visit(G, u)
```

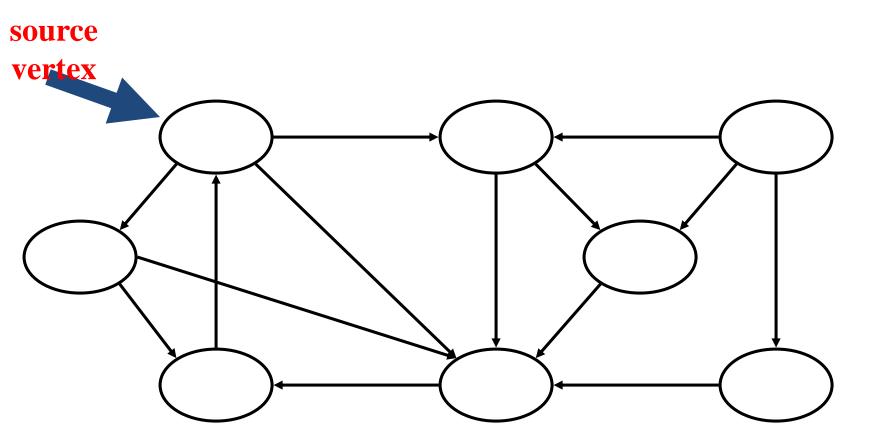
```
DFS_Visit(G, u)
```

```
time = time + 1
u.d = time
u.color = GREY
for each v \in G.Adj[u]
 if (v.color == WHITE)
    v.\pi = u
    DFS_Visit(G, v)
u.color = BLACK
time = time + 1
u.f = time
```

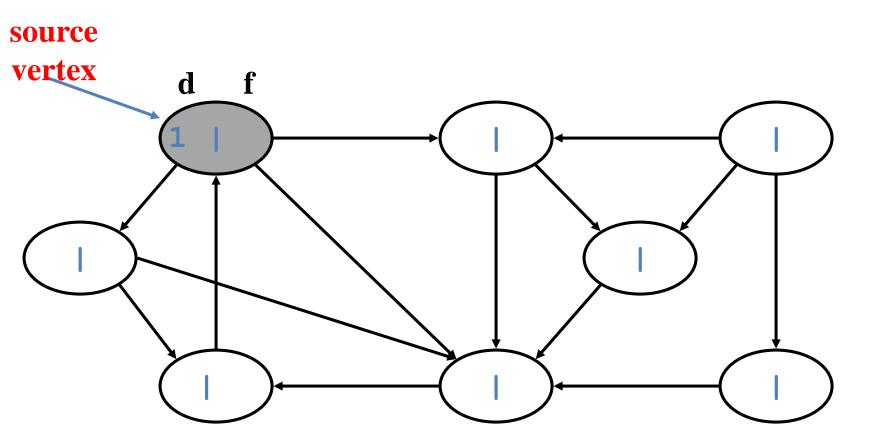
## Variables

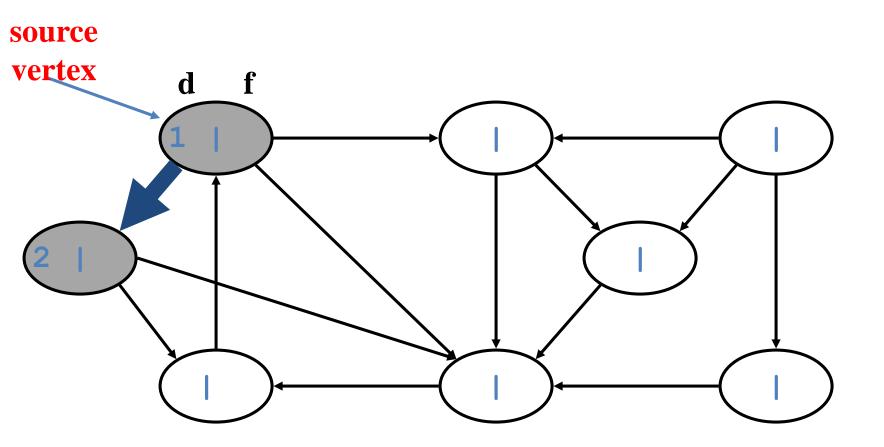
- $u.\pi$  stores the predecessor of vertex u
- The first timestamp *u.d* records when *u* is first discovered (and grayed)
- The second timestamp *u.f* records when the search finishes examining *u*'s adjacency list (and blackens *v*).
- These timestamps are used in many graph algorithms and are generally helpful in reasoning about the behavior of depth-first search

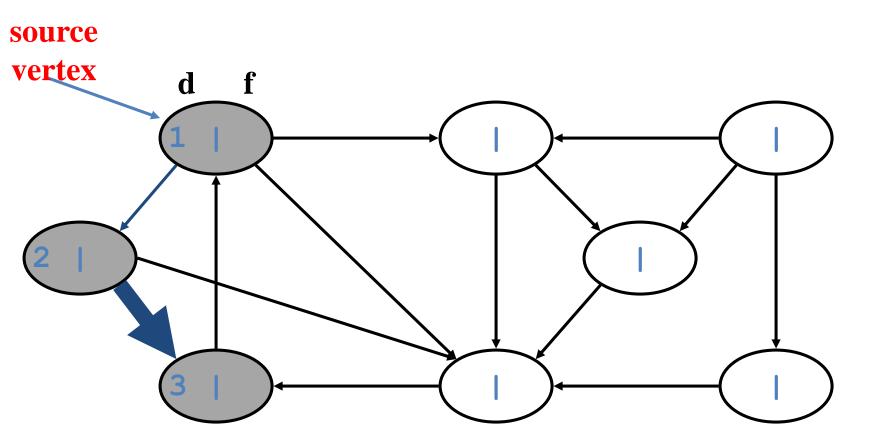
### DFS Example: time = 0



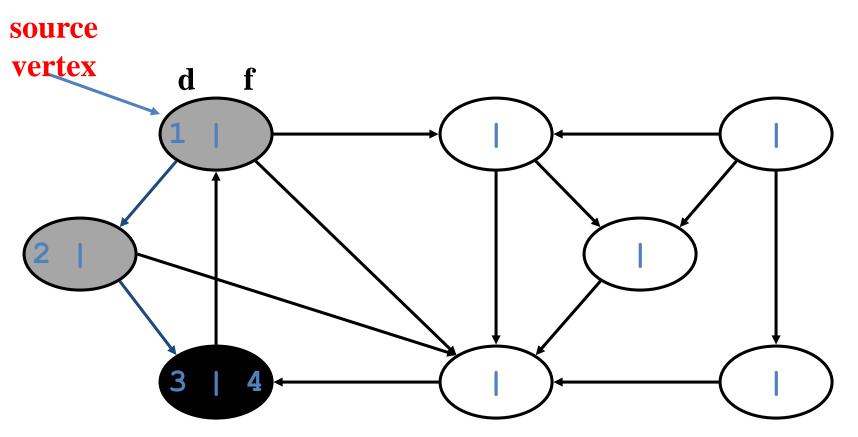
### DFS Example: time = 1



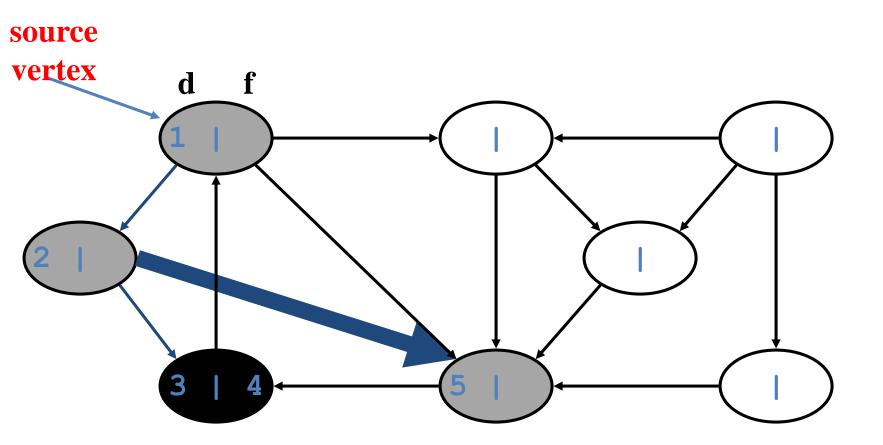




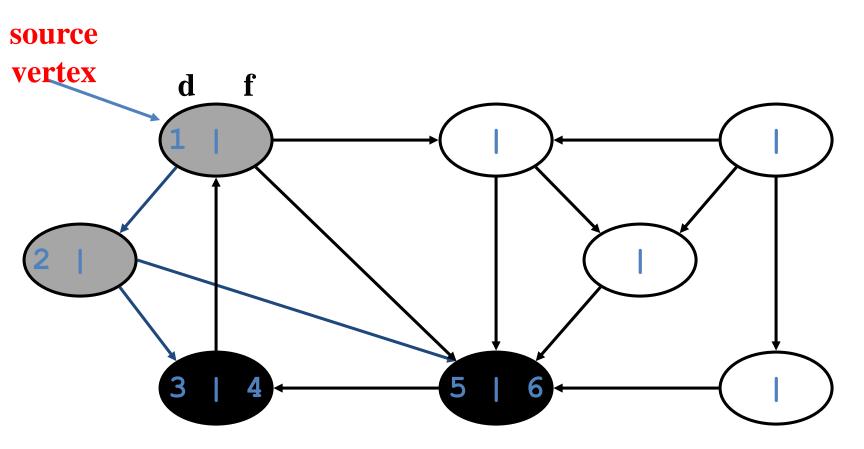
**GREEDY:** Always to go with white nodes if possible



No where to go

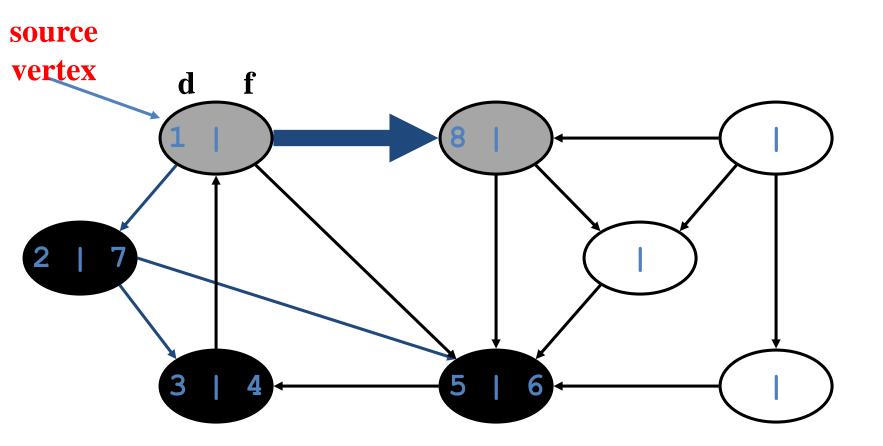


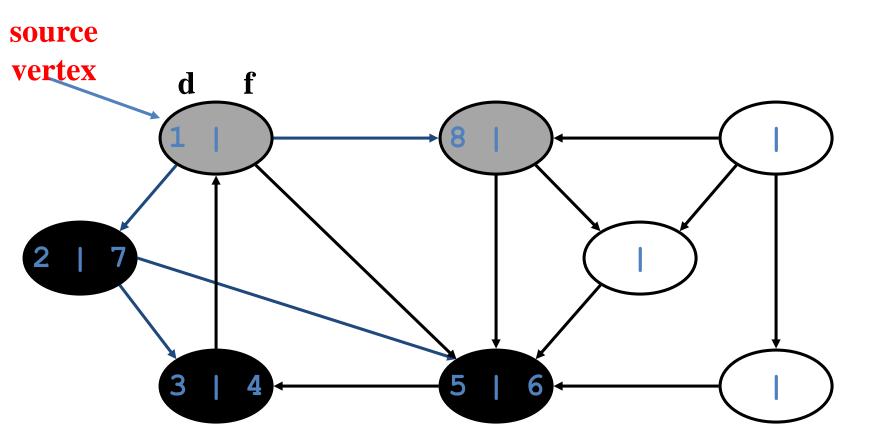
**GREEDY:** Always to go with white nodes if possible **Based on timestamp**, 2 is the newest at this moment

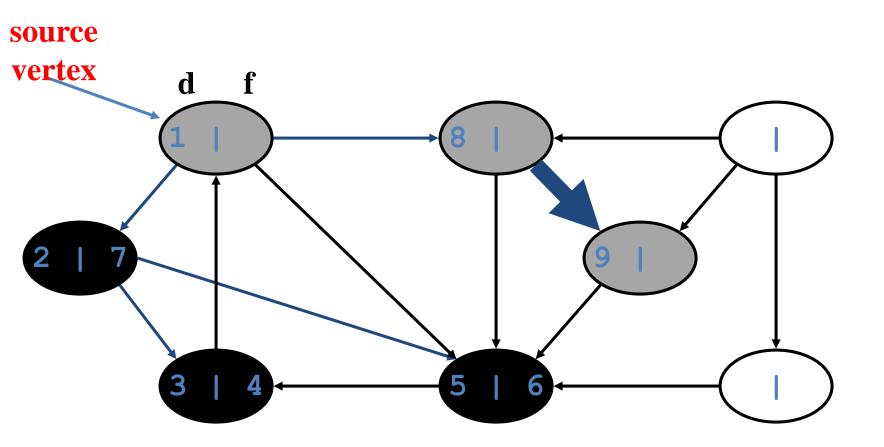


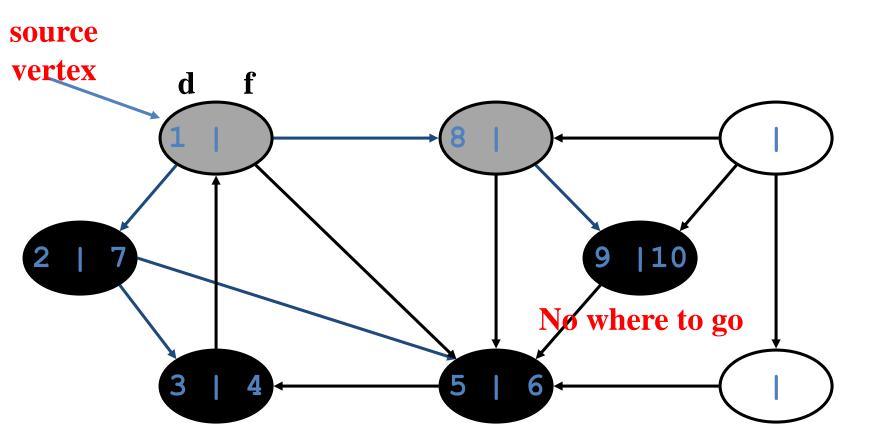
No where to go

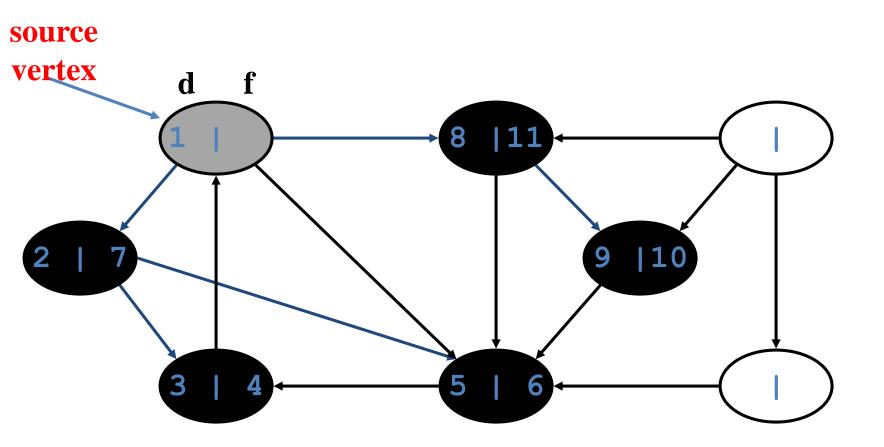
## DFS Example: time = 7 and 8

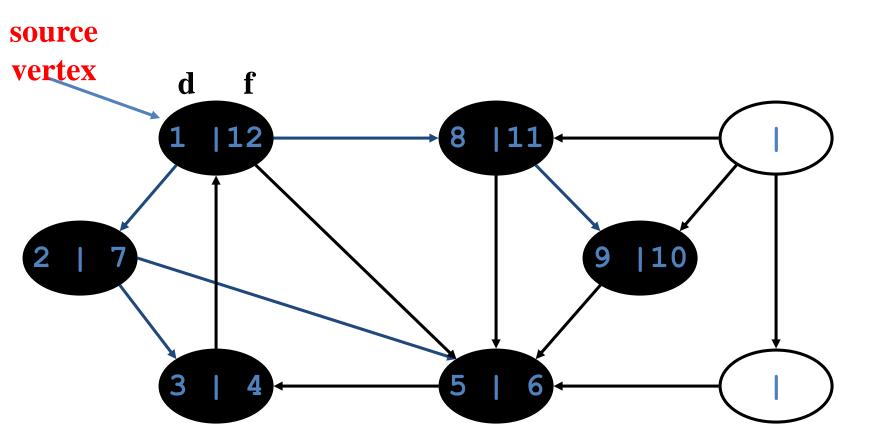


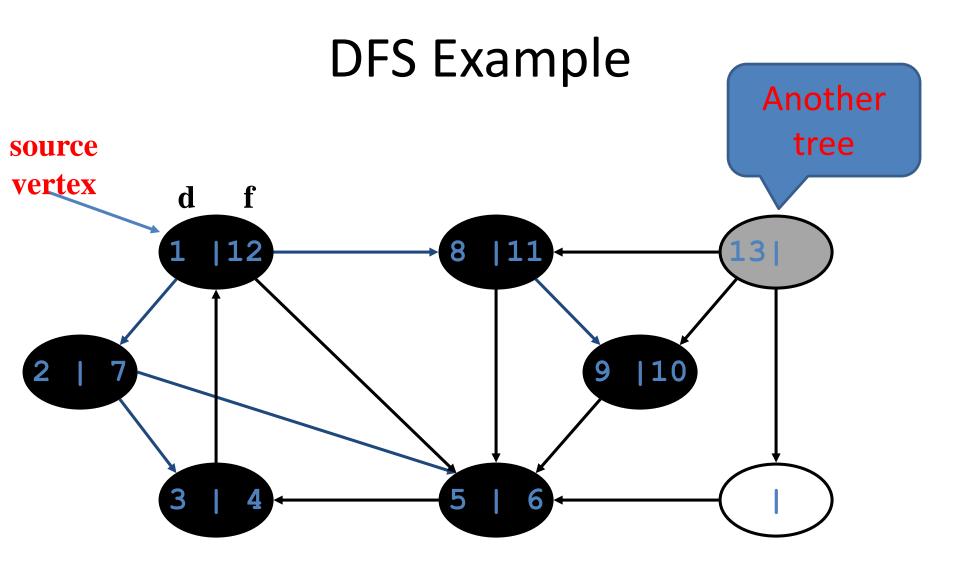


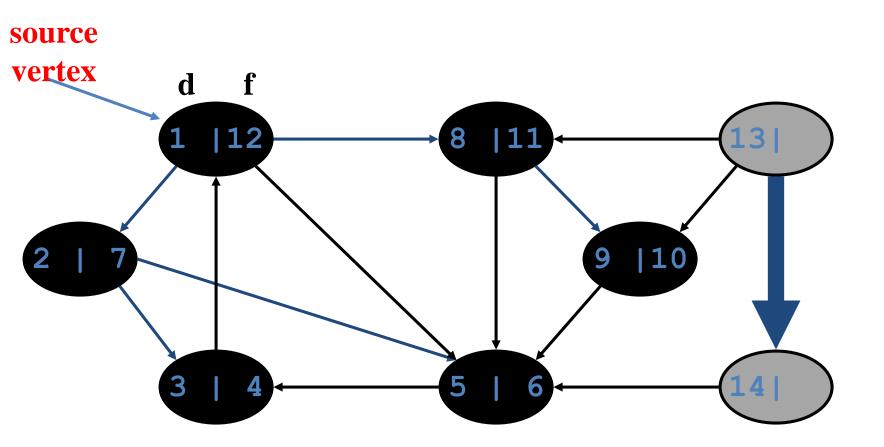


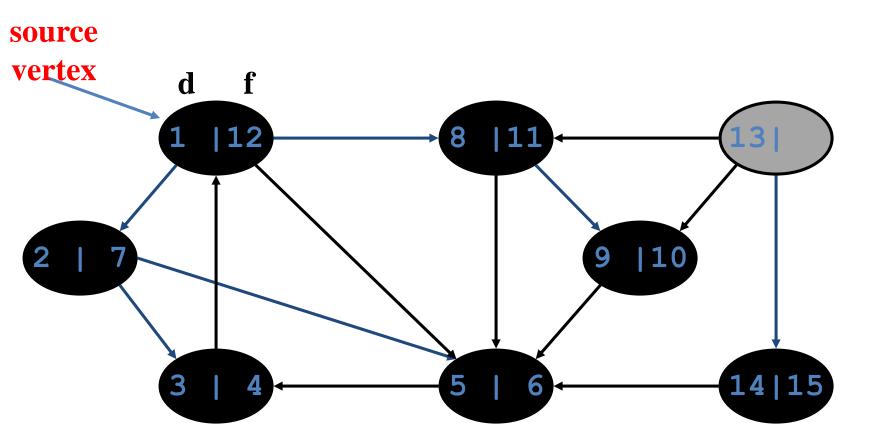


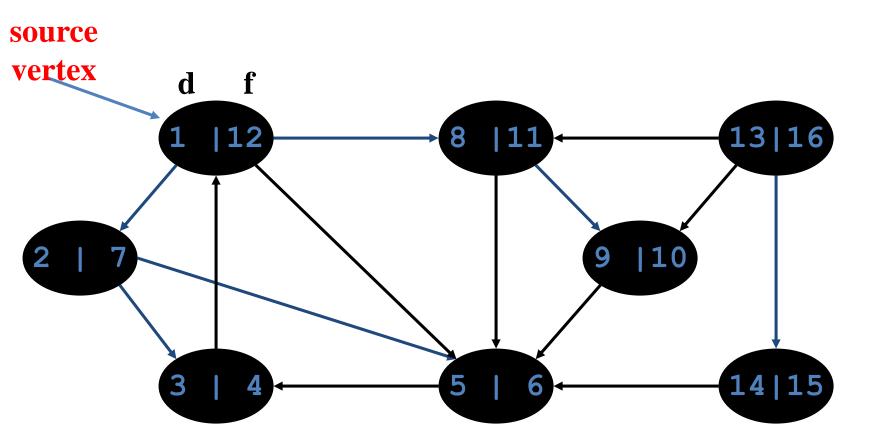












## Depth-First Search: running time

- Running time: O(|V|<sup>2</sup>) because call DFS\_Visit on each vertex, and the loop over Adj[] can run as many as |V| times.
- BUT, there is actually a tighter bound.

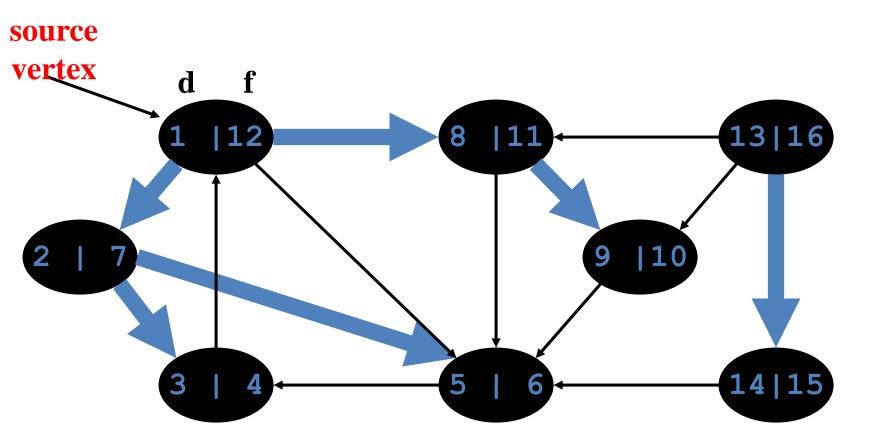
# DFS: running time (cont'd)

- How many times will DFS\_Visit() actually be called?
  - The loops on lines 1–3 and lines 5–7 of DFS take time Θ(V), exclusive of the time to execute the calls to DFS-VISIT.
  - DFS-VISIT is called exactly once for each vertex v
  - During an execution of DFS-VISIT(v), the loop on lines 4–7 is executed |Adj[v]| times.
  - $-\sum_{v\in V}|Adj[v]|=\Theta(E)$
  - Total running time is  $\Theta(V + E)$

## DFS: Different Types of edges

- DFS introduces an important distinction among edges in the original graph:
  - Tree edge: Edge (u, v) is a tree edge if v was first
     discovered by exploring edge (u, v)

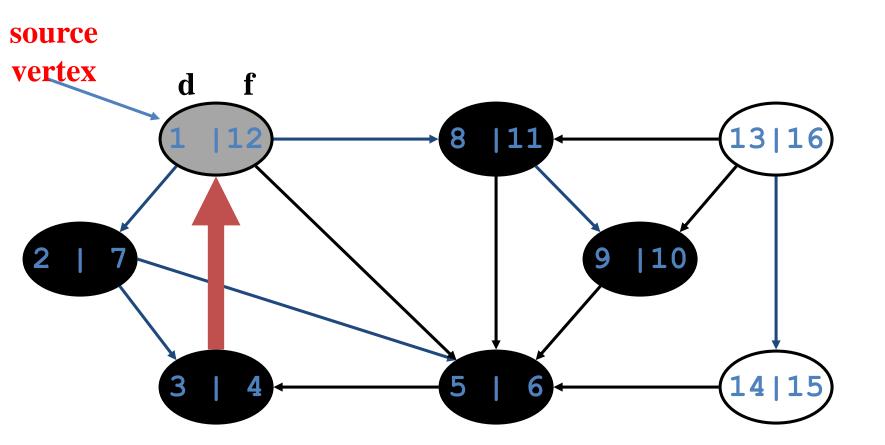
#### DFS Example: Tree edges



#### **Tree edges**

## DFS: Different Types of edges

- DFS introduces an important distinction among edges in the original graph:
  - Tree edge: encounter new vertex
  - Back edge: from descendent to ancestor

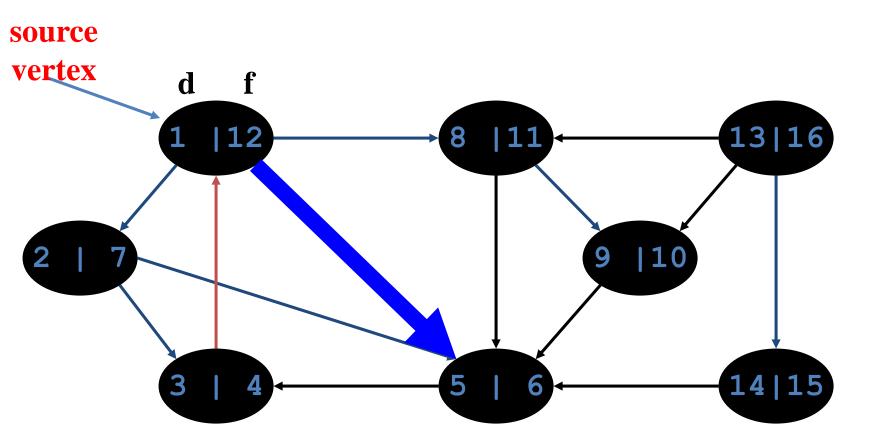


**Tree edges Back edges** 

## DFS: Different Types of edges

- DFS introduces an important distinction among edges in the original graph:
  - Tree edge: encounter new vertex
  - Back edge: from descendent to ancestor
  - Forward edge: from ancestor to descendent
    - Not a tree edge, though

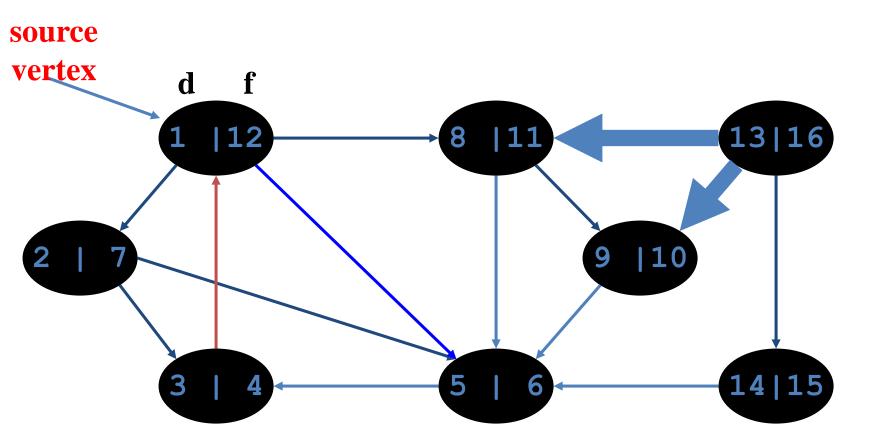
## DFS Example: Forward edges



Tree edges Back edges Forward edges

## DFS: Different Types of edges

- DFS introduces an important distinction among edges in the original graph:
  - Tree edge: encounter new vertex
  - Back edge: from descendent to ancestor
  - Forward edge: from ancestor to descendent
  - Cross edge: between subtrees



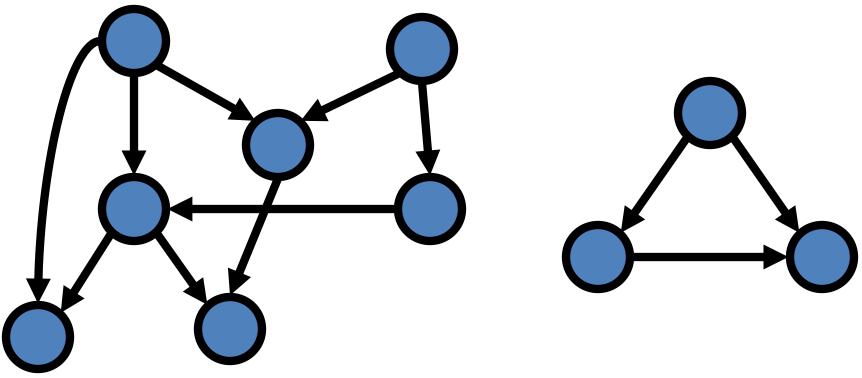
Tree edges Back edges Forward edges Cross edges

## DFS: Different Types of edges

- DFS introduces an important distinction among edges in the original graph:
  - Tree edge: encounter new vertex
  - Back edge: from a descendent to an ancestor
  - Forward edge: from an ancestor to a descendent
  - Cross edge: between a tree or subtrees
- Note: tree & back edges are important
  - most algorithms don't distinguish forward & cross

## **Directed Acyclic Graphs**

• A directed acyclic graph (DAG) is a directed graph with no directed cycles:



## DFS and DAGs

- A directed graph G is acyclic i.f.f. a DFS of G yields no back edges
  - If G is acyclic: no back edges
  - If G has a cycle, there must exist a back edge
- How would you modify the DFS code to detect cycles?
  - Detect back edges
  - edge (u, v) is a back edge if and only if d[v] < d[u] <</p>
    f[u] < f[v]</p>
    - u is the descendent
    - v is the ancestor

#### Run DFS to find whether a graph has a cycle

```
DFS (G)
   for each vertex u \in G.V
      u.color = WHITE
      u.\pi = NIL
   }
   time = 0
   for each vertex u \in G.V
   {
      if (u.color == WHITE)
          DFS Visit(G, u)
```

```
DFS Visit(G, u)
  time = time + 1
  u.d = time
  u.color = GREY
  for each v \in G.Adj[u]
    ł
       if (v.color == WHITE)
           \mathbf{v}.\boldsymbol{\pi} = \mathbf{u}
           DFS Visit(G, v)
   u.color = BLACK
   time = time + 1
   u.f = time
```

## **DFS and Cycles**

- What will be the running time?
- A: O(V+E)
- We can actually determine if cycles exist in O(V) time:
  - In an undirected acyclic tree,  $|E| \le |V| 1$
  - So, count the number of edges:
    - if ever see |V| distinct edges, we must have seen a back edge along the way